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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

Declaration OR  Declaration Submitted after Initial Filing

|                          |                  |
|--------------------------|------------------|
| Attorney Docket Number   | 91805001         |
| First Named Inventor     | Heard, Robert F. |
| <b>COMPLETE IF KNOWN</b> |                  |
| Application Number       |                  |
| Filing Date              | 01/12/2001       |
| Group Art Unit           |                  |
| Examiner Name            |                  |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled :

Window and Door Frame Brickmould Having Integral J-Channel

(Title of the Invention)

the specification of which

is attached hereto  
OR

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed   | Certified Copy Attached?   |  |
|-------------------------------------|---------|----------------------------------|--|--|--|
|                                     |         |                                  | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |
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|                                     |         |                                  |  |  |  |

Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto. |
|-----------------------|--------------------------|--|
| 60/176,212            | 01/14/2000               |  |

91805001.019

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

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## DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application Number | PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number<br><i>(if applicable)</i> |
|--------------------------------|-------------------|---------------------------------|--|
|                                |                   |                                 |  |

Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| Name                  | Registration Number | Name | Registration Number |
|-----------------------|---------------------|------|---------------------|
| Barry E. Kaplan, Esq. | 38,934              |      |                     |

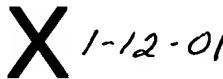
Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

|         |                                |           |              |
|---------|--------------------------------|-----------|--------------|
| Name    | Barry E. Kaplan, Esq.          |           |              |
| Address | 2415 West Park Place Boulevard |           |              |
| Address | Suite B                        |           |              |
| City    | Stone Mountain                 | State     | Georgia      |
| Country | United States                  | Telephone | 770-469-8887 |
|         |                                | Fax       | 770-469-9099 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:**  A petition has been filed for this unsigned inventor

|                      |   |                |    |             |       |   |  |
|----------------------|---|----------------|----|-------------|-------|---|--|
| Given Name           | Robert  | Middle Initial | F. | Family Name | Heard | Suffix e.g. Jr.   |  |
| Inventor's Signature |  |                |    |             | Date  |  1-12-01 |  |

|                 |               |       |    |         |               |             |        |
|-----------------|---------------|-------|----|---------|---------------|-------------|--------|
| Residence: City | Lawrenceville | State | GA | Country | United States | Citizenship | U.S.A. |
|-----------------|---------------|-------|----|---------|---------------|-------------|--------|

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| City                | Lawrenceville | State | GA | Zip | 30044 | Country | United States |

Additional inventors are being named on supplemental sheet(s) attached hereto

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**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet****Name of Additional Joint Inventor, if any:**

A petition has been filed for this unsigned inventor

|            |       |                |    |             |       |                 |  |
|------------|-------|----------------|----|-------------|-------|-----------------|--|
| Given Name | Larry | Middle Initial | J. | Family Name | Heard | Suffix e.g. Jr. |  |
|------------|-------|----------------|----|-------------|-------|-----------------|--|

Inventor's Signature

Date

01/12/01

|                 |        |       |    |         |               |             |        |
|-----------------|--------|-------|----|---------|---------------|-------------|--------|
| Residence: City | Duluth | State | GA | Country | United States | Citizenship | U.S.A. |
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| City | Duluth | State | GA | Zip | 30136 | Country | United States |
|------|--------|-------|----|-----|-------|---------|---------------|

**Name of Additional Joint Inventor, if any:**

A petition has been filed for this unsigned inventor

|            |  |                |  |             |  |                 |  |
|------------|--|----------------|--|-------------|--|-----------------|--|
| Given Name |  | Middle Initial |  | Family Name |  | Suffix e.g. Jr. |  |
|------------|--|----------------|--|-------------|--|-----------------|--|

Inventor's Signature

Date

|                 |  |       |  |         |  |             |  |
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| Residence: City |  | State |  | Country |  | Citizenship |  |
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**Name of Additional Joint Inventor, if any:**

A petition has been filed for this unsigned inventor

|            |  |                |  |             |  |                 |  |
|------------|--|----------------|--|-------------|--|-----------------|--|
| Given Name |  | Middle Initial |  | Family Name |  | Suffix e.g. Jr. |  |
|------------|--|----------------|--|-------------|--|-----------------|--|

Inventor's Signature

Date

|                 |  |       |  |         |  |             |  |
|-----------------|--|-------|--|---------|--|-------------|--|
| Residence: City |  | State |  | Country |  | Citizenship |  |
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| City |  | State |  | Zip |  | Country |  |
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**Name of Additional Joint Inventor, if any:**

A petition has been filed for this unsigned inventor

|            |  |                |  |             |  |                 |  |
|------------|--|----------------|--|-------------|--|-----------------|--|
| Given Name |  | Middle Initial |  | Family Name |  | Suffix e.g. Jr. |  |
|------------|--|----------------|--|-------------|--|-----------------|--|

Inventor's Signature

Date

|                 |  |       |  |         |  |             |  |
|-----------------|--|-------|--|---------|--|-------------|--|
| Residence: City |  | State |  | Country |  | Citizenship |  |
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| City |  | State |  | Zip |  | Country |  |
|------|--|-------|--|-----|--|---------|--|

Additional inventors are being named on supplemental sheet(s) attached hereto

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**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)  
91805001

Applicant or Patentee: Robert F. Heard/ Larry J. Heard

Application or Patent No.: \_\_\_\_\_

Filed or Issued: 01/12/2001

Title: Window and Door Frame Brickmould Having Integral J-Channel

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- the specification filed herewith with title as listed above.
- the application identified above.
- the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- No such person, concern, or organization exists.
- Each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Robert F. Heard

Larry J. Heard

NAME OF INVENTOR

NAME OF INVENTOR

X Robert F. Heard

NAME OF INVENTOR

X Larry J. Heard

NAME OF INVENTOR

Signature of inventor

Signature of inventor

Signature of inventor

X 1-12-01

X 01/12/01

Date \_\_\_\_\_

Date

Date

Date